

# DISCIPLINARY COMPLAINT

## BAY AREA REAL ESTATE INFORMATION SERVICES, INC. (BAREIS MLS®)

For BAREIS Use Only

Case No. \_\_\_\_\_

Complaint Received: \_\_\_\_\_

1. I (we), the undersigned complainant(s), hereby allege that the following persons have engaged in conduct subject to disciplinary action by BAREIS:

### RESPONDENT(S):

(1)

\_\_\_\_\_  
Name (Type or Print)

\_\_\_\_\_  
Firm

\_\_\_\_\_  
Street Address

\_\_\_\_\_  
City, State, Zip

(2)

\_\_\_\_\_  
Name (Type or Print)

\_\_\_\_\_  
Firm

\_\_\_\_\_  
Street Address

\_\_\_\_\_  
City, State, Zip

### RESPONDENT(S):

(3)

\_\_\_\_\_  
Name of Manager (Type or Print)

\_\_\_\_\_  
Firm

\_\_\_\_\_  
Street Address

\_\_\_\_\_  
City, State, Zip

(4)

\_\_\_\_\_  
Name (Type or Print)

\_\_\_\_\_  
Firm

\_\_\_\_\_  
Street Address

\_\_\_\_\_  
City, State, Zip

2. The above named respondents have violated the following:

- Section(s) \_\_\_\_\_ of the MLS Rules and Regulations.  
 Other membership duty as set forth in the bylaws of BAREIS (specify):

3. The facts and circumstances supporting the above allegation(s) are detailed in the attached statement marked "**Exhibit 1**", which is hereby incorporated by reference and made part of this complaint.

4. I am informed that the named respondents are current Participants or Subscribers in BAREIS MLS® or that the property at issue is or was listed in BAREIS MLS®.

5. Date of knowledge of alleged misconduct \_\_\_\_\_. This complaint must be filed within 180 calendar days after the facts constituting alleged misconduct could have been known in the exercise of reasonable diligence.

6. Are the circumstances giving rise to this complaint, or the respondents in this case, involved in a civil or criminal proceeding or in any proceeding before a governmental agency?  
YES \_\_\_ NO \_\_\_ If you answered yes, please attach a written statement of explanation.

7. Have you filed, or do you plan to file a similar or related complaint with another multiple listing service?  
YES \_ NO \_ If you answered yes, please attach a written statement of explanation.
8. I understand there will be a recording of any full disciplinary hearing. I understand that the recording is subject to the rules of confidentiality and is made solely for the purpose of a Review by BAREIS Board of Directors, if one is requested.
9. I will be represented by an attorney, whose name address and telephone number are:  
  
\_\_\_\_\_  
  
\_\_\_\_\_  
  
\_\_\_\_\_
10. I agree to abide by the rules and procedures used by BAREIS to conduct disciplinary hearings.

**Under the penalties of perjury, I declare that to the best of my knowledge and belief that my allegations in this complaint are true and correct.**

Dated: \_\_\_\_\_ at \_\_\_\_\_, California

**COMPLAINANT(S):**

**COMPLAINANT(S):**

(1) \_\_\_\_\_  
Signature

(3) \_\_\_\_\_  
Signature

\_\_\_\_\_  
Name (Type or Print)

\_\_\_\_\_  
Name (Type or Print)

Firm

Firm

Street Address

Street Address

City, State, Zip

City, State, Zip

Phone

Phone

(2) \_\_\_\_\_  
Signature

(4) \_\_\_\_\_  
Signature

\_\_\_\_\_  
Name (Type or Print)

\_\_\_\_\_  
Name (Type or Print)

Firm

Firm

Street Address

Street Address

City, State, Zip

City, State, Zip

Phone

Phone

## **EXHIBIT 1**

Please explain the facts and circumstances supporting the above allegation(s).