

DISCIPLINARY COMPLAINT

BAY AREA REAL ESTATE INFORMATION SERVICES, INC.

For BAREIS Use Only Case No. _____ Complaint Received: _____, 20____.

1. I (we), the undersigned complainant(s), hereby allege that the following persons have engaged in conduct subject to disciplinary action by BAREIS:

RESPONDENT(S):

(1) _____
Name (Type or Print)

Firm _____

Street Address

City, State, Zip

(2) _____
Name (Type or Print)

Firm _____

Street Address

City, State, Zip

RESPONDENT(S):

(3) _____
Name (Type or Print)

Firm _____

Street Address

City, State, Zip

(4) _____
Name (Type or Print)

Firm _____

Street Address

City, State, Zip

2. The above named respondents have violated the following:

- Section(s) _____ of the MLS Rules and Regulations.
- Other membership duty as set forth in the bylaws of BAREIS (specify):

3. The facts and circumstances supporting the above allegation(s) are detailed in the attached statement marked "Exhibit 1," which is hereby incorporated by reference and made part of this complaint.

4. I am informed that the named respondents are current Participants or Subscribers in BAREIS.

5. Date of knowledge of alleged misconduct _____. This complaint must be filed within 180 calendar days after the facts constituting alleged misconduct could have been known in the exercise of reasonable diligence.

6. Are the circumstances giving rise to this complaint, or the respondents in this case, involved in a civil or criminal proceeding or in any proceeding before a governmental agency?
YES _____ NO _____ If you answered yes, please attach a written statement of explanation.

7. Have you filed, or do you plant to file a similar or related complaint with another multiple listing service?
YES _____ NO _____ If you answered yes, please attach a written statement of explanation.
8. I understand that this complaint may not result in a hearing. It may result in a fine being imposed on the respondent(s), the respondent(s) may attend an ethics class in lieu of a fine, respondent(s) may have a circuit court hearing where they may argue for a reduction in fine or the matter may go to a full disciplinary hearing. I also acknowledge that these proceedings are confidential and that I have an obligation to maintain and protect the confidentiality of these proceedings and resulting decision. I hereby agree to do unless authorized by BAREIS' rules and procedures or required by law to do otherwise.
9. I understand there will be a tape recording of any full disciplinary hearing. I understand that the tape recording is subject to the rules of confidentiality and is made solely for the purpose of a Review by BAREIS Board of Directors, if one is requested.
10. I agree to abide by the rules and procedures used by BAREIS to conduct disciplinary hearings.

Under the penalties of perjury, I declare that to the best of my knowledge and belief that my allegations in this complaint are true and correct.

Dated: _____ at _____, California

COMPLAINANT(S):

COMPLAINANT(S):

(1) _____
Signature

(3) _____
Signature

Name (Type or Print)

Name (Type or Print)

Firm _____

Firm

Street Address

Street Address

City, State, Zip

City, State, Zip

Phone

Phone

(2) _____
Signature

(4) _____
Signature

Name (Type or Print)

Name (Type or Print)

Firm

Firm

Street Address

Street Address

City, State, Zip

City, State, Zip

Phone

Phone